



Third Party Processor Application and Certification

Referred by:
Company Legal Name:
D/B/A Name (if different):
Principal(s) Full Name(s):
Address:
Telephone:
E-Mail Address:
Date Established:
NMLS #:
If NMLS registration is associated with mortgage broker or mortgage lender under state law, please provide the name of the broker or lender:
FLCB Account Executive:

References: (Please indicate number of years you have been approved to do business with each firm.)

Top Three Client Names	Years	Contact Person	Email Address

Certification Questions - For any "Yes" answers, provide an explanation and documentation.	YES	NO
Has your company or its principals been a defendant in any legal proceeding in the past seven years related to the real estate industry?		
Has your company or its principals been subject to a suspension, debarment, or under a Limited Denial of Participation (LDP), excluded from participating in U.S. Department of Housing and Urban Development (HUD) programs through the System for Award Management (SAM/GSA), or the Federal Housing Finance Agency (FHFA) Suspended Counterparty Program (SCP) list?		
Has your company, or its principals been refused, restricted, suspended, or revoked any license necessary to conduct normal operations by any regulatory, licensing agency, body, or authority FNMA, FHLMC, GNMA, HUD, USDA, VA?		
Has your company ever had any adverse findings in any audit or examination conducted by any regulatory, licensing agency, body, authority, any federal or state agency, FNMA, FHLMC, GNMA, HUD, USDA, VA in the past seven years?		
Certification Questions - For any "No" answers, provide an explanation and documentation.	YES	NO
Does your company have the ability to protect borrower personal and confidential information from illegal access by others outside of the transaction?		
Does your company perform training for your employees to be knowledgeable as to federal, state, regulatory policies and procedures required to participate in the different programs for which your company provides services to our institution?		
Does your company perform audits on the services/products offered to our company for quality control, risk and compliance?		

By signing below, I, as the authorized representative of the Company, represent that the Company is not affiliated with any mortgage broker or mortgage lender for which it provides loan processing services. "Affiliated" means the Company or any mortgage broker or lender for which the Company provides loan processing services share common control or ownership, of any of the Company's principals are related to one or more of the mortgage broker/lender principals. **If the Company becomes affiliated with a mortgage broker or mortgage lender in the future, I understand and agree that the Company will no longer be eligible to process loan applications for FLCBank.**

Signature of Authorized Representative: _____ Date: _____

Printed Name of Authorized Representative: _____